

JUN 07 2004

IFW AF/1616/

PTO/SB/21 (6-99)

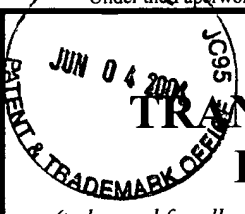
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Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

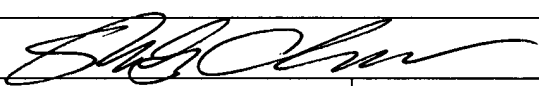
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|   |   |                               |                   |
|---|---|-------------------------------|-------------------|
|  <p align="center"><b>TRANSMITTAL FORM</b></p> <p align="center"><i>(to be used for all correspondence after initial filing)</i></p> |   | <b>Application Number</b>     | 10/026,361        |
|   |   | <b>Filing Date</b>            | December 21, 2001 |
|   |   | <b>First Named Inventor</b>   | Chang-An Wu       |
|   |   | <b>Group/Art Unit</b>         | 1616              |
|   |   | <b>Examiner Name</b>          | A. Pryor          |
| <b>Total Number of Pages in This Submission</b>   | 9 | <b>Attorney Docket Number</b> | 25885-703         |

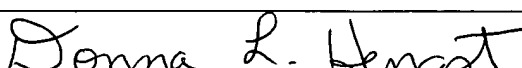
**ENCLOSURES (check all that apply)**

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Response<br><input checked="" type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Additional Enclosure(s) (please identify below):<br><div style="border: 1px solid black; height: 40px; width: 100%;"></div> |
| <div style="border: 1px solid black; padding: 5px;">Remarks</div>  |   |  |

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

|                                |   |                         |        |
|--------------------------------|---|-------------------------|--------|
| <b>Firm or Individual name</b> | Shirley Chen, Reg. No. 44,608, WILSON SONSINI GOODRICH & ROSATI                     |                         |        |
| <b>Signature</b>               |  |                         |        |
| <b>Date</b>                    | June 4, 2004  | <b>Customer Number:</b> | 021971 |

**CERTIFICATE OF EXPRESS MAILING**

|   |   |             |              |
|---|---|-------------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 20231-1450, on this date: June 4, 2004.. |   |             |              |
| <div style="border: 1px solid black; padding: 2px;">Express Mail Label EV 334638838 US</div>  |   |             |              |
| <b>Typed or printed name</b>  | Donna L. Hengst   |             |              |
| <b>Signature</b>  |  | <b>Date</b> | June 4, 2004 |

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# FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent fees are subject to annual revision.

Significant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 210.00)

## Complete if Known

Application Number 10/026,361  
 Filing Date December 21, 2001  
 First Named Inventor Chang-An Wu  
 Examiner Name A. Pryor  
 Art Unit 1616  
 Attorney Docket No. 25885-703

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

23-2415

Deposit Account Name

Wilson Sonsini Goodrich &amp; Rosati

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment fee(s)☐ Charge fees(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Fee | Entity Fee | Small Fee | Entity Fee | Fee Description        | Fee Paid |
|-----------|------------|-----------|------------|------------------------|----------|
| Code (\$) | Code (\$)  | Code (\$) | Code (\$)  |                        |          |
| 1001      | 770        | 2001      | 385        | Utility filing fee     |          |
| 1002      | 340        | 2002      | 170        | Design filing fee      |          |
| 1003      | 530        | 2003      | 265        | Plant filing fee       |          |
| 1004      | 770        | 2004      | 385        | Reissue filing fee     |          |
| 1005      | 160        | 2005      | 80         | Provisional filing fee |          |

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
|                    | -20** =      | x              | =        |
| Independent Claims | -3** =       | x              | =        |
| Multiple Dependent |              |                | =        |

| Large Entity  | Small Entity  | Fee Description   |
|---------------|---------------|---|
| Fee Code (\$) | Fee Code (\$) |   |
| 1202          | 2202          | 9 Claims in excess of 20                                    |
| 1201          | 2201          | 43 Independent claims in excess of 3                        |
| 1203          | 2203          | 145 Multiple dependent claim, if not paid                   |
| 1204          | 2204          | 43 **Reissue independent claims over original patent        |
| 1205          | 2205          | 9 **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see below

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Fee | Entity Fee | Small Fee | Entity Fee | Fee Description  | Fee Paid |
|-----------|------------|-----------|------------|--|----------|
| Code (\$) | Code (\$)  | Code (\$) | Code (\$)  |  |          |
| 1051      | 130        | 2051      | 65         | Surcharge - late filing fee or oath  |          |
| 1052      | 50         | 2052      | 25         | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053      | 130        | 1053      | 130        | Non-English specification  |          |
| 1812      | 2,520      | 1812      | 2,520      | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804      | 920*       | 1804      | 920*       | Requesting publication of SIR prior to Examiner action                     |          |
| 1805      | 1,840*     | 1805      | 1,840*     | Requesting publication of SIR after Examiner action                        |          |
| 1251      | 110        | 2251      | 55         | Extension for reply within first month                                     |          |
| 1252      | 420        | 2252      | 210        | Extension for reply within second month                                    | 210.00   |
| 1253      | 950        | 2253      | 475        | Extension for reply within third month                                     |          |
| 1254      | 1,480      | 2254      | 740        | Extension for reply within fourth month                                    |          |
| 1255      | 2,010      | 2255      | 1,005      | Extension for reply within fifth month                                     |          |
| 1401      | 330        | 2401      | 165        | Notice of Appeal   |          |
| 1402      | 330        | 2402      | 165        | Filing a brief in support of an appeal                                     |          |
| 1403      | 290        | 2403      | 145        | Request for oral hearing   |          |
| 1451      | 1,510      | 1451      | 1,510      | Petition to institute a public use proceeding                              |          |
| 1452      | 110        | 2452      | 55         | Petition to revive - unavoidable   |          |
| 1453      | 1,330      | 2453      | 665        | Petition to revive - unintentional   |          |
| 1501      | 1,330      | 2501      | 665        | Utility issue fee (or reissue)   |          |
| 1502      | 480        | 2502      | 240        | Design issue fee   |          |
| 1503      | 640        | 2503      | 320        | Plant issue fee  |          |
| 1460      | 130        | 1460      | 130        | Petitions to the Commissioner  |          |
| 1807      | 50         | 1807      | 50         | Processing fee under 37 CFR 1.17(q)  |          |
| 1806      | 180        | 1806      | 180        | Submission of Information Disclosure Stmt                                  |          |
| 8021      | 40         | 8021      | 40         | Recording each patent assignment per property (times number of properties) |          |
| 1809      | 770        | 2809      | 385        | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810      | 770        | 2810      | 385        | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801      | 770        | 2801      | 385        | Request for Continued Examination (RCE)                                    |          |
| 1802      | 900        | 1802      | 900        | Request for expedited examination of a design application                  |          |

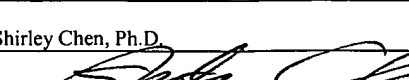
Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$210.00

## SUBMITTED BY

(Complete if applicable)

|                   |   |                                   |              |           |              |
|-------------------|---|-----------------------------------|--------------|-----------|--------------|
| Name (Print/Type) | Shirley Chen, Ph.D.   | Registration No. (Attorney/Agent) | 44,608       | Telephone | 650-493-9300 |
| Signature         |  | Date                              | June 4, 2004 |           |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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